

S/N 09/437,567

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|             |   |                 |             |
|-------------|---|-----------------|-------------|
| Applicant:  | SEARE ET AL.  | Examiner:       | Poinvil     |
| Serial No.: | 09/437,567  | Group Art Unit: | 2755        |
| Filed:      | 11/10/99  | Docket No.:     | 12344.2USC1 |
| Title:      | METHOD AND SYSTEM FOR GENERATING STATISTICALLY-BASED<br>MEDICAL PROVIDER UTILIZATION PROFILES |                 |             |

CERTIFICATE UNDER 37 CFR 1.6(c): The undersigned hereby certifies that this correspondence is being hand-delivered to:  
Commissioner for Patents, Washington, D.C. 20231, on 1-25-02.

By:  
Name:

*Janice Kent*  
Janice Kent (Bowini & Kent)

Received  
JAN 25 2002  
Technology Center 2100

STATEMENT OF NEW MATTER  
SUBMITTED IN SUBSTITUTE SPECIFICATION

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I, Alan G. Gorman, Registration No. 38,472, the attorney for Applicant in conjunction with the above referenced application, along with the second substitute specification enclosed herewith, provide the following remarks. The substitute specification does not contain any new matter. The substitute specification reflects the amendments to the specification referenced in the Amendment submitted concurrently herewith.

Respectfully submitted,  
Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402  
612.332.5300

Dated: January 24, 2002

*Alan G. Gorman*  
Alan G. Gorman  
Reg. No. 38,472  
AGG:cmf

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| Confirmation No.: | N/A   | Notice of Allow. Date: | N/A         |
| Due Date:         | N/A   |                        |             |
| Title:            | METHOD AND SYSTEM FOR GENERATING STATISTICAL<br>PROVIDER UTILIZATION PROFILES |                        |             |

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CERTIFICATE UNDER 37 CFR 1.6(c): The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being hand-delivered to: Non-Fee Amendment, Commissioner for Patents, Washington, D.C. 20231, on 1-25-02.

By: Janice Kent

Non-Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Amendment with Attached Drawing (Fig. 16)
- ☒ Other: Communication; Substitute Specification; Marked-up Substitute Specification; Statement of No New Matter Submitted in Substitute Specification
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: Alan G. Gorman  
Name: Alan G. Gorman  
Reg. No.: 38,472  
AGG:cmf



(PTO TRANSMITTAL - GENERAL)